

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerald A. Hammann

Examiner: Unknown

Serial No.: 09/840,332

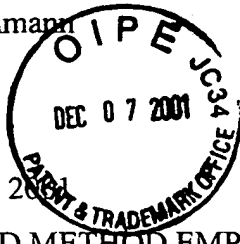
Group Art Unit: 2161

Filed: April 23, 2001

Docket No.: H238.101.101

Date Due: November 23, 2001

Title: SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN
HUMAN-FACTOR RESOURCE INDUSTRY



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Commissioner for Patents
Washington, D.C. 20231

Sir/Madam:

We are transmitting herewith the attached:

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- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Information Disclosure Statement (1 pg.).
- ☒ Form PTO-1449 (1 pg.).
- ☒ A copy of (8) U.S. Patent Document(s); (0) Foreign Document(s) and (2) Other Art Document(s).
- ☒ Return Postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415

By:
Name: Patrick G. Billig
Reg. No.: 38,080

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231 on this 6 day of November, 2001.

By:
Name: Patrick G. Billig

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerald A. Hammann

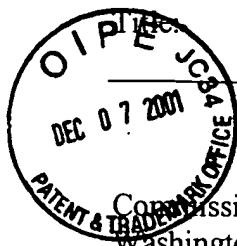
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SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN
HUMAN-FACTOR RESOURCE INDUSTRY

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicant further requests a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since a first Office Action has NOT been received by Applicant, a fee is not enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicant's representative at the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to ~~Assistant~~
Commissioner of Patents, Washington, D.C. 20231
on Nov. 6, 2001
Date of Deposit

Patrick G. Billig
[Signature]

Dated: 11-6-01
PGB:cmj

Respectfully submitted,

Jerald A. Hammann,

By his attorneys,

DICKE, BILLIG & CZAJA, P.A.

701 Building, Suite 1250

701 Fourth Avenue South

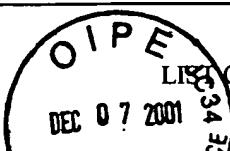
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FORM PTO-1449	Docket No.: H238.101.101	Serial No.: 09/840,332
 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Jerald A. Hammann	
	Filing Date: April 23, 2001	Group Art: 2161

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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,255,184	10/1993	Hornick et al.			
AB	5,270,921	12/1993	Hornick			
AC	5,404,291	4/1995	Kerr et al.			
AD	5,918,209	6/1999	Campbell et al.			
AE	6,049,774	4/2000	Roy			
AF	6,061,691	1/2000	Fox			
AG	6,253,187	6/2001	Fox			
AH	6,263,315	7/2001	Talluri			
AI						
AJ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translated Yes No
AM						Yes
AN						Yes
AO						Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Desiraju, Ramarao et al., "Strategic Service Pricing and Yield Management," <i>Journal of Marketing</i> , pp. 44-56, (January 1999).
AQ	Kimes, Sheryl E., "Yield Management: A Tool for Capacity-Constrained Service Firms," <i>Journal of Operations Management</i> , Vol. 8, No. 4, pp. 348-363, (October 1989).
AR	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.